



---

UniversitätsKlinikum Heidelberg

# Analyzing adverse events by time-to-event models: The CLEOPATRA study

November 23, 2016

Tanja Proctor<sup>1</sup>   Martin Schumacher<sup>2</sup>

<sup>1</sup>Institute of Medical Biometry and Statistics, University of Heidelberg

<sup>2</sup>Institute of Medical Biometry and Statistics, University of Freiburg



- 1 CLEOPATRA study
- 2 Incidence proportion and rates
- 3 Kaplan - Meier Plot
- 4 Competing Risks
- 5 Multi-state Model
- 6 Summary



# CLEOPATRA study

## The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

JANUARY 12, 2012

VOL. 366 NO. 2

### Pertuzumab plus Trastuzumab plus Docetaxel for Metastatic Breast Cancer

José Baselga, M.D., Ph.D., Javier Cortés, M.D., Sung-Bae Kim, M.D., Seock-Ah Im, M.D., Roberto Hegg, M.D., Young-Hyuck Im, M.D., Laslo Roman, M.D., José Luiz Pedrini, M.D., Tadeusz Pienkowski, M.D., Adam Knott, Ph.D., Emma Clark, M.Sc., Mark C. Benyunes, M.D., Graham Ross, F.F.P.M., and Sandra M. Swain, M.D., for the CLEOPATRA Study Group\*

#### ABSTRACT

##### BACKGROUND

The anti-human epidermal growth factor receptor 2 (HER2) humanized monoclonal antibody trastuzumab improves the outcome in patients with HER2-positive metastatic breast cancer. However, most cases of advanced disease eventually progress. Pertuzumab, an anti-HER2 humanized monoclonal antibody that inhibits receptor dimerization, has a mechanism of action that is complementary to that of trastuzumab, and combination therapy with the two antibodies has shown promising activity and an acceptable safety profile in phase 2 studies involving patients with HER2-positive breast cancer.

From the Massachusetts General Hospital Cancer Center and Harvard Medical School, Boston (J.B.); the Vall d'Hebron Institute of Oncology, Barcelona (J.C.); the Department of Oncology, Asan Medical Center, University of Ulsan College of Medicine (S.-B.K.), the Division of Hematology and Medical Oncology, Department of Internal Medicine, Seoul National University College of Medicine (S.-A.I.), and



## CLEOPATRA study

- Phase 3 study to compare the efficacy and safety of a three-drug regimen (pertuzumab, docetaxel, trastuzumab) to a two-drug regimen (placebo, docetaxel, trastuzumab) in patients with HER2-positive first-line metastatic breast cancer.
- Double-blind randomised trial
- Primary endpoint progression-free survival (assessed independently)
- Secondary endpoints included overall survival, progression-free survival (assessed by investigator), objective response rate and **safety**
- Median follow-up was 30 months
- Data used in the calculation are based on the cut-off date for collection of data in May 2011

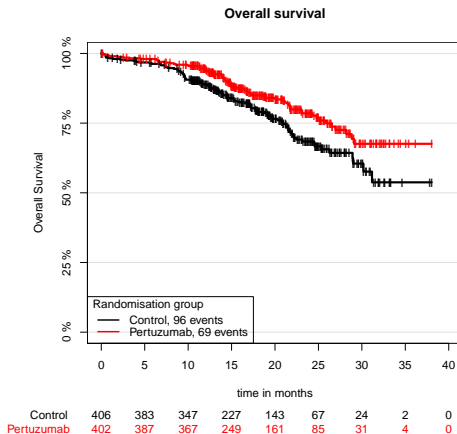


# Results CLEOPATRA study





# Results CLEOPATRA study



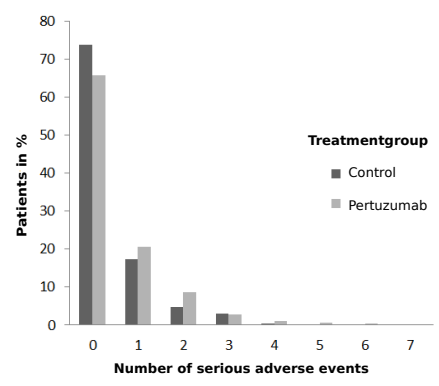


## Reporting serious adverse events

- Only serious adverse events (SAEs) are considered; other adverse events are not taken into account.
- All SAEs in the CLEOPATRA study were reported for the period up to 42 days after the last dose of study period, which is defined as the overall treatment period.
- The reporting requirements were reduced after the overall treatment period.
  - e.g. related SAEs had to be reported irrespective of the time period when the SAE occurred and unrelated non-cardiac SAEs were only reported during the overall treatment period.



# Barplot







## Results incidence proportion

### Safety population!

	Control (n=397)	Pertuzumab (n=407)	Pert. vs. Control RR[95%-CI]
Number of patients with at least one SAE	104 (26.3%)	140 (34.4%)	1.31 [1.06-1.62]
Data are number of patients(%).			

### Time on treatment:

Study treatment	Control (n=397)	Pertuzumab (n=407)
Time on treatment in months (Median)	11.8	18.1
<b>Difference</b> in median treatment time in months		<b>6.3</b>

Source: Baselga et al.(2012): Pertuzumab plus Trastuzumab plus Docetaxel for Metastatic Breast Cancer.



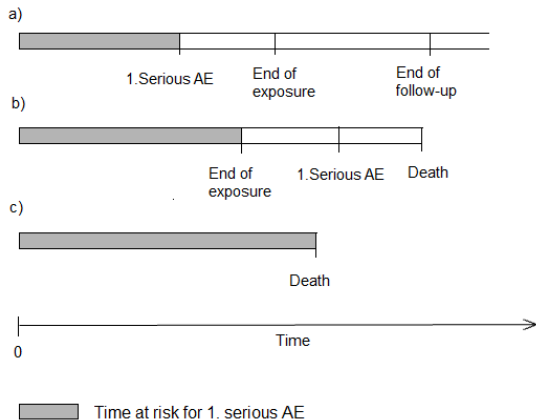
## Incidence rates

**IR (Incidence rate):** Number of patients experiencing at least one SAE divided by the total *patient-time at risk (of first SAE)* of all patients in each group.

**EAIR (Exposure-adjusted incidence rate):** Number of patients experiencing at least one SAE divided by the total *patient-exposure-time of first SAE or in case of no SAE patient-exposure-time* of all patients in each group.



## Exposure-adjusted incidence rate



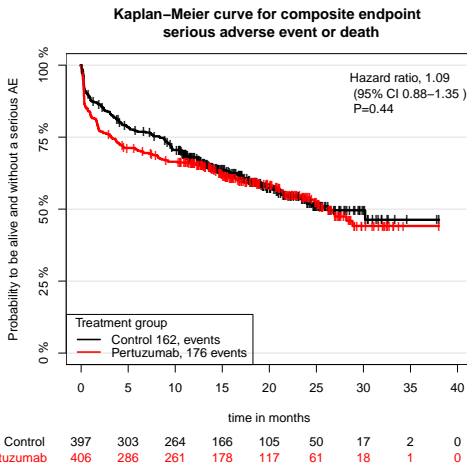


## Incidence rate in months

	Control (n=397)	Pertuzumab (n=407)
<b>Rates of experiencing SAEs</b>		
Proportion of patients experiencing SAEs	104 (26.3%)	140 (34.4%)
RR[95%-CI]		<b>1.31 [1.06-1.62]</b>
Incidence rate per patient-months	0.019	0.026
IRR [95%-CI]		<b>1.34 [1.04-1.72]</b>
EAIR per patient-months	0.027	0.032
HR EAIR [95%-CI]		<b>1.21 [0.94-1.57]</b>
Ratios are given with Pert/Trast/Doce vs. Pla/Trast/Doce		

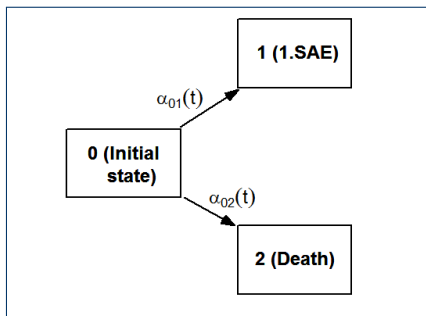


# Kaplan-Meier of composite endpoint





# Competing Risks

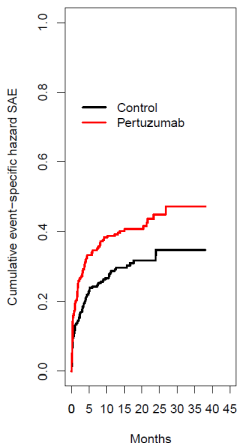




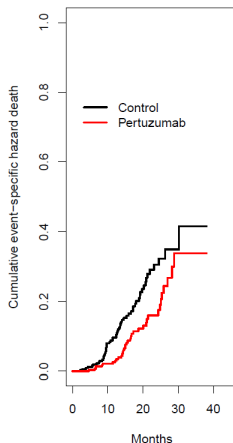
# Cumulative event-specific hazards

Nelson-Aalen estimator of the cumulative event-specific hazards

1.SAE



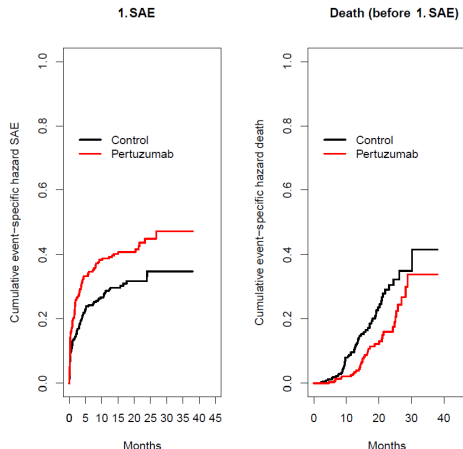
Death (before 1.SAE)





## Cumulative event-specific hazards

Nelson-Aalen estimator of the cumulative event-specific hazards



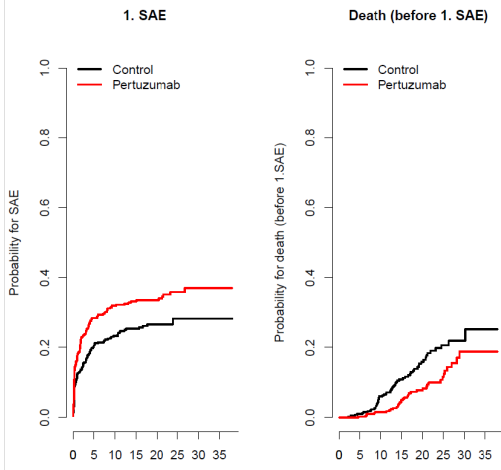
Event-specific hazard:  
SAE:  $p = 0.0142$  with  
HR = 1.37 and CI-95%  
[1.07-1.77]  
Death:  $p = 0.0139$  with  
HR = 0.59 and CI-95%  
[0.39-0.90]





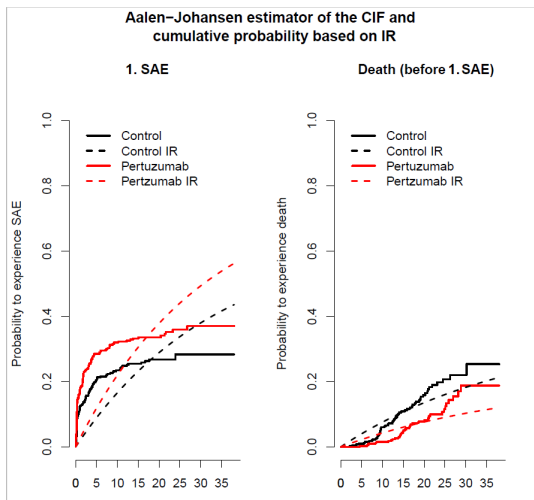
# Cumulative incidence function

Aalen-Johansen estimator of the cumulative incidence function



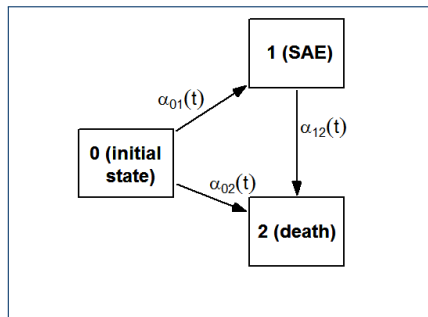


# Cumulative incidence function and Incidence rate



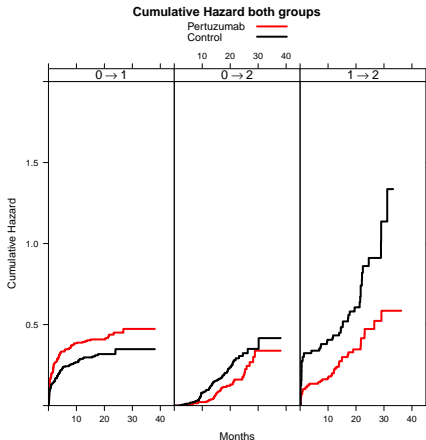


# Multi-state Model



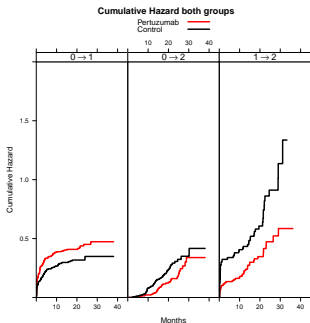


## Nelson-Aalen estimator of cumulative event-specific hazards in multi-state model





## Nelson-Aalen estimator of cumulative event-specific hazards in multi-state model



Output transition

hazard:

SAE:  $p = 0.0142$ ,

HR = 1.37

(CI-95% [1.07-1.77])

Death:  $p = 0.0139$ ,

HR = 0.59

(CI-95% [0.39-0.90])

**SAE → Death**:

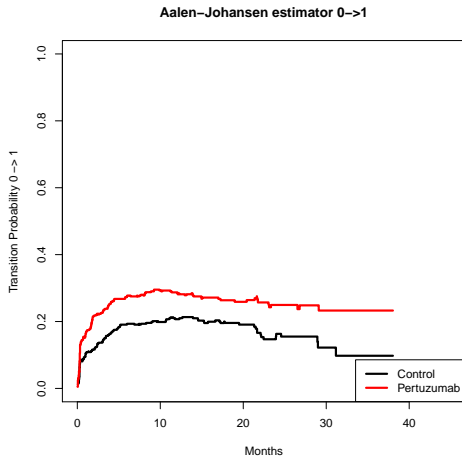
$p = 0.034$ ,

HR = 0.60

(CI-95% [0.37-0.96])



# Aalen-Johansen estimator of transition probability from initial state to SAE state





## Summary Methods

- **Incidence proportion:** Follow-up/exposure time not considered.
- **Incidence rate:** Incidence rate and EAIR consider time-dependence but assume constant hazards.
- **Kaplan-Meier-Plots:** Considers time-dependent occurrence of adverse events. Composite endpoint masks specific effect of competing events death and SAEs.
- **Competing Risks:** Differentiation between hazard for death and hazard for SAEs possible (event-specific hazard), and differentiation between the cumulative probability for death/SAE until month  $t$  (cumulative incidence function).
- **Multi-state Model:** Includes the occurrence of competing risk events, hazard of death after a first SAE can be calculated as well.



## Summary CLEOPATRA

	<b>SAE</b>	<b>Death before SAE</b>	<b>Death after SAE</b>
Incidence proportion RR	1.31 [1.06-1.62]	0.60 [0.49-0.92]	-
IRR	1.34 [1.04-1.72]	0.66 [0.49-0.91]	-
Competing Risk HR	1.37 [1.07-1.77]	0.59 [0.39-0.90]	-
Multi-state HR	1.37 [1.07-1.77]	0.59 [0.39-0.90]	0.60 [0.37-0.96]
	<b>SAE</b>	<b>Disc. or Death</b>	
EAIRR	1.21 [0.94-1.57]	0.82 [0.41-0.94]	-

Ratios are given with Pertuzumab vs. Control and 95%-CI





## Literature



José Baselga, Javier Cortés, Kim Sung Bae, et al.

Pertuzumab plus Trastuzumab plus Docetaxel for Metastatic Breast Cancer

*New England Journal of Medicine*, 366(2):109-119, 2012.



Jan Beyersmann, Petra Gastmeier, Martin Schumacher.

Incidence in ICU populations: how to measure and report it?

*Intensive Care Medicine*, 40(6):871-876, 2014.



Jan Beyersmann, Arthur Allignol, Martin Schumacher.

Competing Risks and Multistate Models with R

Springer, 2012.



Ohidul Siddiqui.

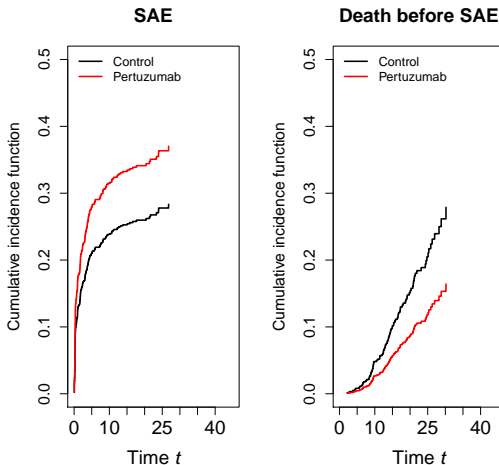
Statistical Methods to Analyze Adverse Events Data of Randomized Clinical Trials

*Journal of Biopharmaceutical Statistics*, 19(5): 889-899, 2009.



# Cumulative incidence function based on Fine and Gray model

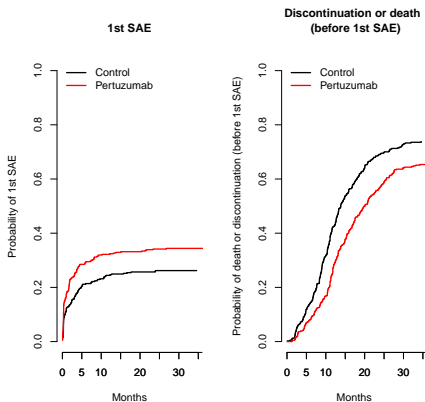
Cumulative incidence function based on the Fine & Gray model





# Competing risks model considering time to discontinuation

Aalen-Johansen estimator of the cumulative incidence function





## Summary CLEOPATRA

	<b>SAE</b>	<b>Death before 1.SAE</b>	<b>Death after 1.SAE</b>
Incidence proportion RR	1.31 (1.06, 1.62)	0.60 (0.49, 0.92)	-
IRR	1.34 (1.04, 1.72)	0.66 (0.49, 0.91)	-
Competing Risk HR	1.37 (1.07, 1.77)	0.59 (0.39, 0.90)	-
Subdistribution HR	1.39 (1.08, 1.79)	0.55 (0.36, 0.83)	-
Multi-state HR	1.37 (1.07, 1.77)	0.59 (0.39, 0.90)	0.60 (0.37, 0.96)
	<b>SAE</b>	<b>Disc. or Death</b>	
EAIRR	1.21 (0.94, 1.57)	0.82 (0.41, 0.94)	
Competing Risk HR	1.32 (1.03, 1.71)	0.72 (0.61, 0.85)	-

Ratios are given with Pertuzumab vs. Control and 95%-CI