

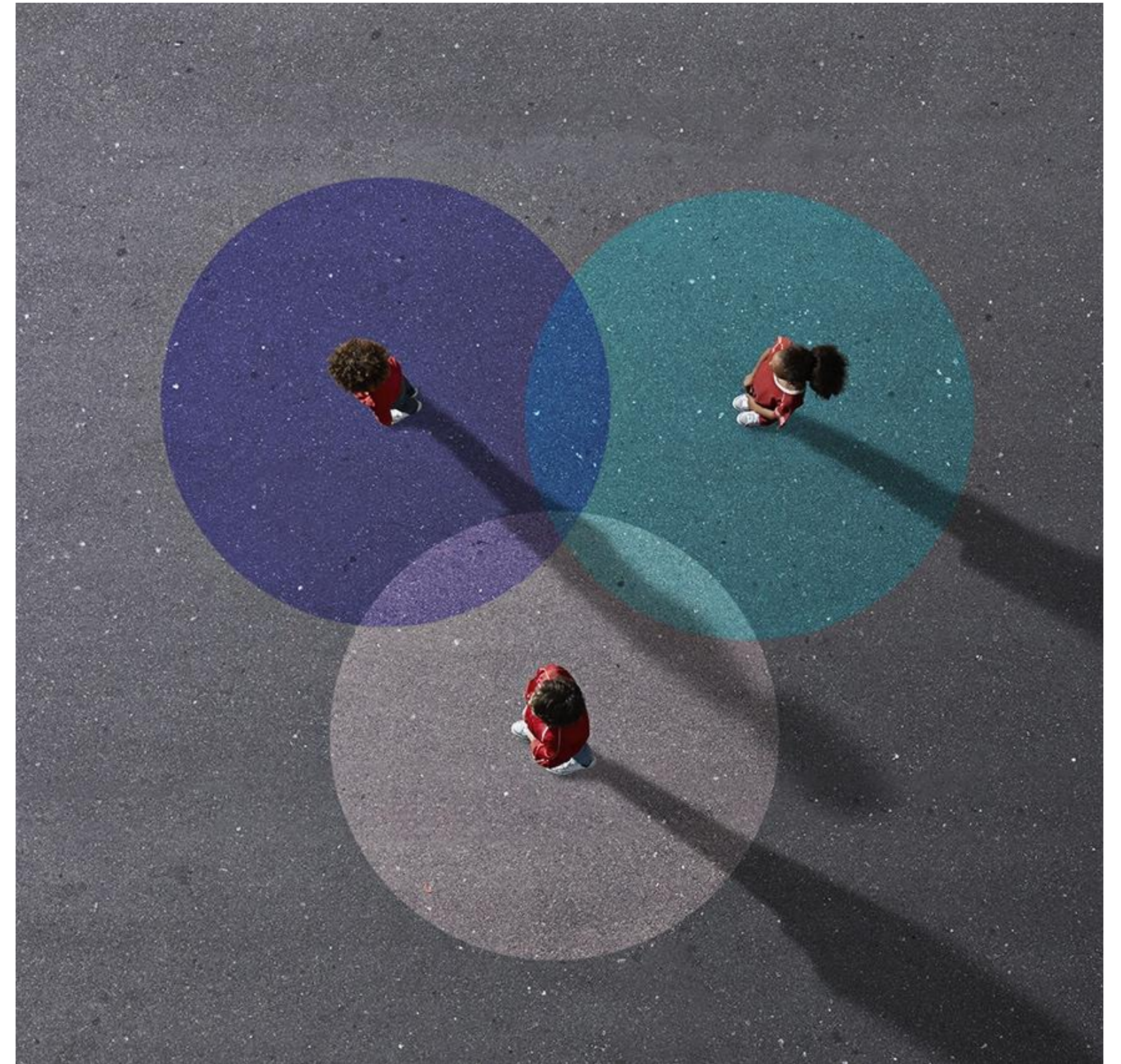
How Do Meta-Analyses Handle Treatment Switching? A Systematic Review

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Co-authors: Quang Vuong, Antonio Remiro-Azócar, Antonia Morga, Anders Gorst-Rasmussen, Oliver Keene, Louis Dron & Jay JH Park

Overview

- Background and rationale
- Methods
- Findings
- General conclusions
- Future directions



Did you know the 2019 ICH E9(R1) addendum....

1. Highlights the importance of estimands including specifying post-randomization events that may affect the interpretation of clinical trial outcomes (i.e., intercurrent events; ICEs) and strategies to handle these events

Did you know the 2019 ICH E9(R1) addendum....

1. Highlights the importance of estimands including specifying post-randomization events that may affect the interpretation of clinical trial outcomes (i.e., intercurrent events; ICEs) and strategies to handle these events

AND

2. **Explicitly notes that integration of data from multiple trials without consideration and specification of the estimand targeted by each trial, which includes handling of intercurrent events, can be misleading**

Yet there has been much attention to individual trials...

Open access

BMJ Open Estimands: bringing

Kahan *et al. Trials* (2021) 22:686
<https://doi.org/10.1186/s13063-021-05644-4>

RESEARCH

Estimands in p randomised tri needed

Brennan C. Kahan^{1*}, Tim P. Morris

Abstract

Background: An estimand is a pr
question) and is distinct from the
potential use of estimands to imp
of the ICH E9(R1) Addendum on t
estimands are described in published trial protocols.

Methods: We reviewed 50 trial protocols published in October 2020 in *Trials* and *BMJ Open*.
determined whether the estimand for the primary outcome was explicitly stated, not stated
be constructed from the information given), or not inferable.

Results: None of the 50 trials explicitly described the estimand for the primary outcome, and
impossible to infer the estimand from the information included in the protocol. The population attribute of the
estimand could not be inferred in 36% of trials, the treatment condition attribute in 20%, the population-level

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Journal of Clinical Epidemiology

Volume 162, October 2023, Pages 118-126



Original Article

The estimand framework had implications in time to patient- reported outcomes deterioration analyses in cancer clinical trials

Francesco Cottone^a, Fabio Efficace^{a,b}, David Cella^b, Neil K. Aaronson^c, Johannes M.
Giesinger^d, Jean-Baptiste Bachet^e, Christophe Louvet^f, Emilie Charton^g,
Gary S. Collins^h, Amelie Anotaⁱ

include data from all patients, yet the resulting treatment effect applies only to a subset of patients, whereas other
methods will exclude certain patients while results will apply to everyone. Additionally, some analyses provide
estimates pertaining to hypothetical settings in which patients never die or discontinue treatment. Herein we
introduce *estimands* as a solution to the aforementioned problem. An estimand is a clear description of what
the treatment effect represents, thus saving readers the necessity of trying to infer this from study methods and
potentially getting it wrong. We provide examples of how estimands can remove ambiguity from reported treatment
effects and describe their current use in practice. The crux of our argument is that readers should not have to
infer what investigators are estimating; they should be told explicitly.

Number of trials that stated the precise primary
question being addressed about an intervention (ie,
the primary estimand), or for which the primary

to the treatment regimens and not received ancillary
treatment (hypothetical effect); and secondly, what
was the treatment effect regardless of the amount of

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Consulting, East Hanover, NJ

ARTICLE HISTORY

Received July 2016
Revised December 2016

KEYWORDS

Estimand; ICH E9 guideline;
Missing data; Sensitivity
analysis

Downloaded from <https://academic.oup.com/aje/article/192>

rescue-medication value was
the end-of-trial value.
the FDA commented on the pri-
vation carried forward (LOCF)

ed LOCF imputation for diabetes
awareness in the statistical com-
proach [1].

ange from
and asked two
the treatment
ically adhered

uses related to text

...and relatively little to meta-analysis

Received: 2 August 2022 | Revised: 18 August 2022 | Accepted: 18 August 2022
DOI: 10.1002/sim.9566

COMMENTARY

Statistics in Medicine WILEY

Some considerations on target estimands for health technology assessment

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Antonio Remiro-Azócar, Medical Affairs Statistics, Bayer plc, 4
Email: antonio.remiro-azocar@bayer.com

First and foremost, I would like to thank an anonymous discussion around my article, “Target estimands: prior exchange with Phillippo et al.”²⁻⁴ I extend my thanks to Phillippo et al,⁹ for their additional contributions.
This rejoinder discusses the potential development of health technology assessment (HTA). I consider the treatment comparison or a network meta-analysis of multiple randomized controlled trials (RCTs).¹⁰⁻¹² In the current regulatory setting, and has target estimands are not exclusively based on RCTs and HTA decisions.

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Methodology

Is Intention to Treat Still the Gold Standard or Should Health Technology Assessment Agencies Embrace a Broader Estimands Framework? Insights and Perspectives From the National Institute for Health and Care Excellence and Institut für Qualität und Wirtschaftlichkeit im Gesundheitswesen on the International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use E9 (R1) Addendum

Antonia Morga, DPhil, Nicholas R. Latimer, PhD, Martin Scott, MSc, Neil Hawkins, PhD, CStat, Michael Schlichting, MSc, Jixian Wang, PhD

Research Synthesis Methods

DISCUSSION

Broad versus narrow research questions in evidence synthesis: A parallel to (and plea for) estimands

This article relates to: ✓

Forst-Rasmussen

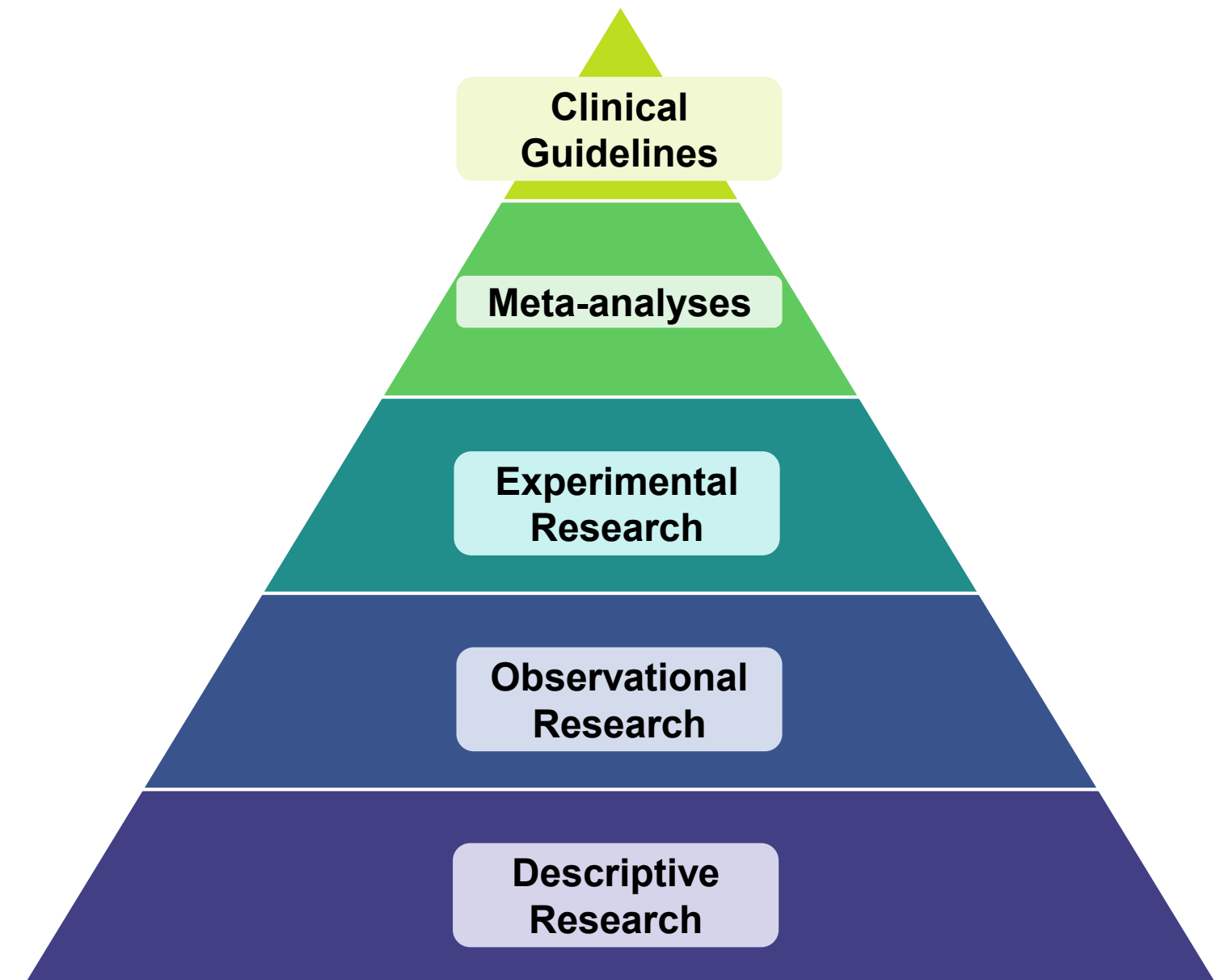
<https://doi.org/10.1002/jrsm.1741> | Citations: 2

PDF TOOLS SHARE

from broad to more specific research questions in the analysis (NMA). Such convergence is also taking place in the clinical trials, following the recent introduction of the new standards impacting the design, data collection strategy, analysis and

Meta-analyses shape health care

- Health technology assessment (HTA) determines what treatments patients have access to
- Clinical guidelines determine what treatments are actually delivered



We asked:

**How are meta-analyses handling
different estimands?**

Novel cancer therapies
where treatment
switching is common

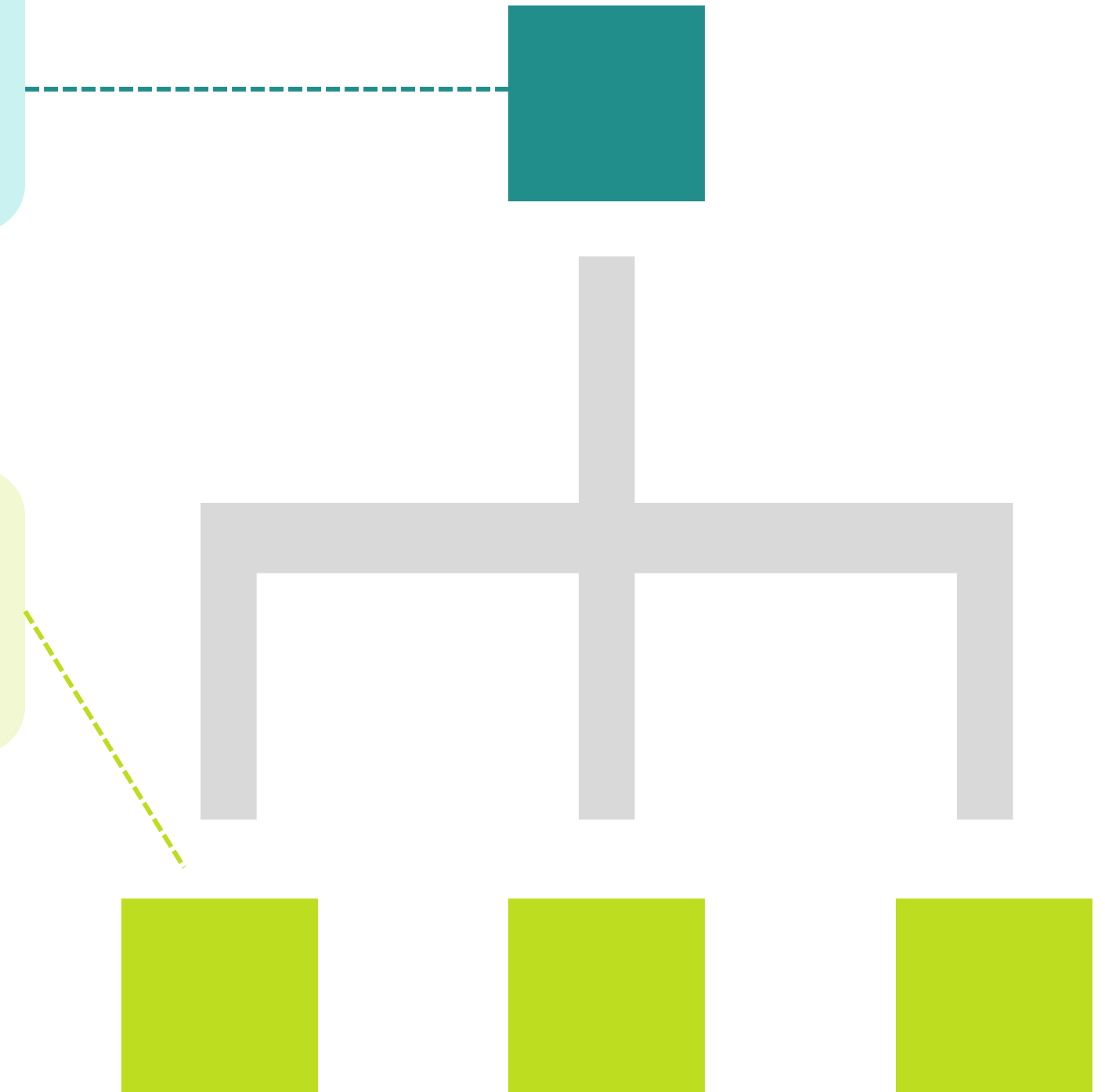
Cochrane meta-analyses
of randomized trials
published since 2021

Category	Criteria
Population	Patients with any cancer site or class, and of any stage or treatment setting
Intervention	<ul style="list-style-type: none">• Immunotherapies• Hormone therapies• Targeted therapies• Other novel pharmacology therapies
Comparators	No restrictions
Outcomes	At least one of the following outcomes: <ul style="list-style-type: none">• Progression free survival (PFS)• Overall survival (OS)
Study design	Meta-analyses randomized clinical trials identified through a systematic review
Other	Published in Cochrane Library in or after 2021

Two-stage Approach

Step 1:
Review meta-analyses
and see what was done

Step 2:
Review included trials
and see what could have
been done



Key Elements Reviewed

Meta-Analyses

- Outcomes (PFS and/or OS)
- Consideration of treatment switching in risk of bias assessment
- Stated strategies for handling treatment switching in the evidence synthesis

Clinical Trials

- Reported outcome definitions of PFS and OS and their related censoring mechanism
- Analytical framework (i.e., per protocol or intention to treat)
- Treatment switching
 - Was it allowed?
 - When?
 - For whom? (i.e., direction of switch)

Included Studies

162 Cochrane
meta-analyses of
which, 8 were
eligible

162

8

Containing 81
clinical trials, of
which 68 were
eligible

81

68

Meta-Analyses: Risk of Bias Assessment Based on Intercurrent Event

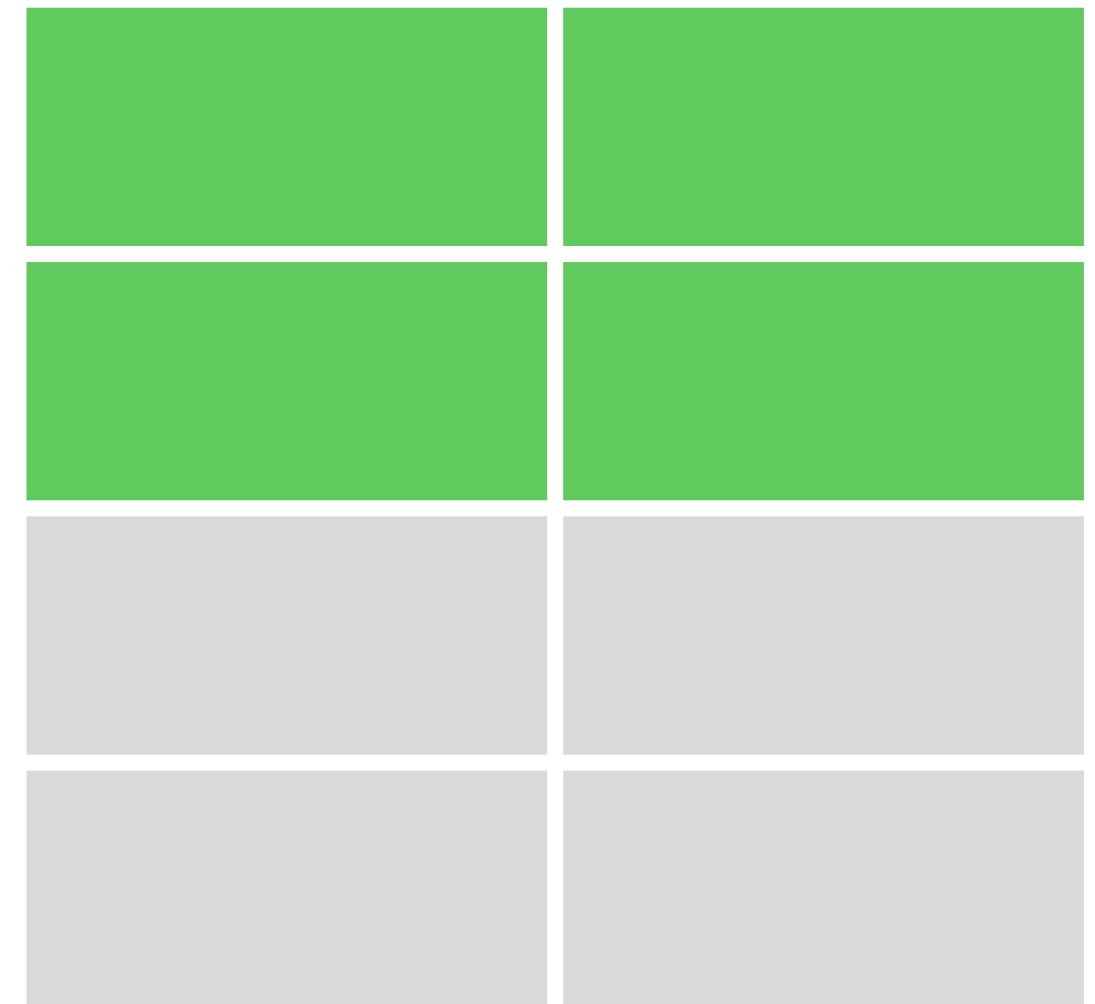
2 of 8 studies considered intercurrent events in the risk of bias assessment

- Taylor et al. 2021, considered the impact of intercurrent events, and specifically treatment cross-over, on estimates of OS
- Ferrara et al. 2021, considered intercurrent events in their RoB 2 assessment of one included trial, where they noted that intercurrent illness could introduce bias into the study analysis

Meta-Analyses: Strategies for Treatment Switching

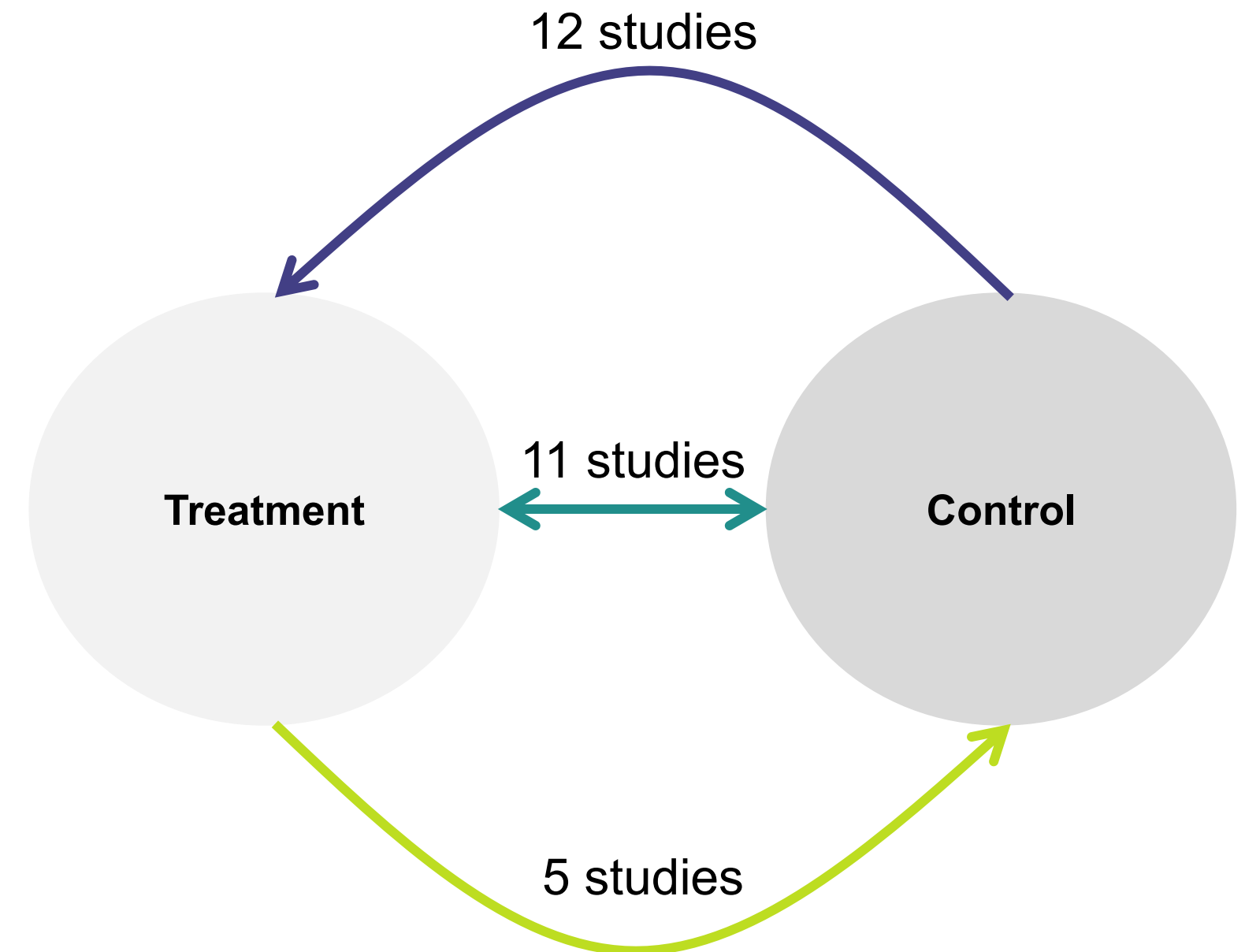
4 of 8 studies reported any strategy for addressing treatment switching

- Cameron et al. 2022 contextualized findings by reporting median length of survival time and rate of cross-over
- Taylor et al. planned sensitivity analyses to address crossover but necessary data were not available
- Zhu et al. 2022 & Ferrara et al. 2021 planned strategies for formal crossover trials but none were included in their meta-analyses



Clinical Trials: Direction of Treatment Switching

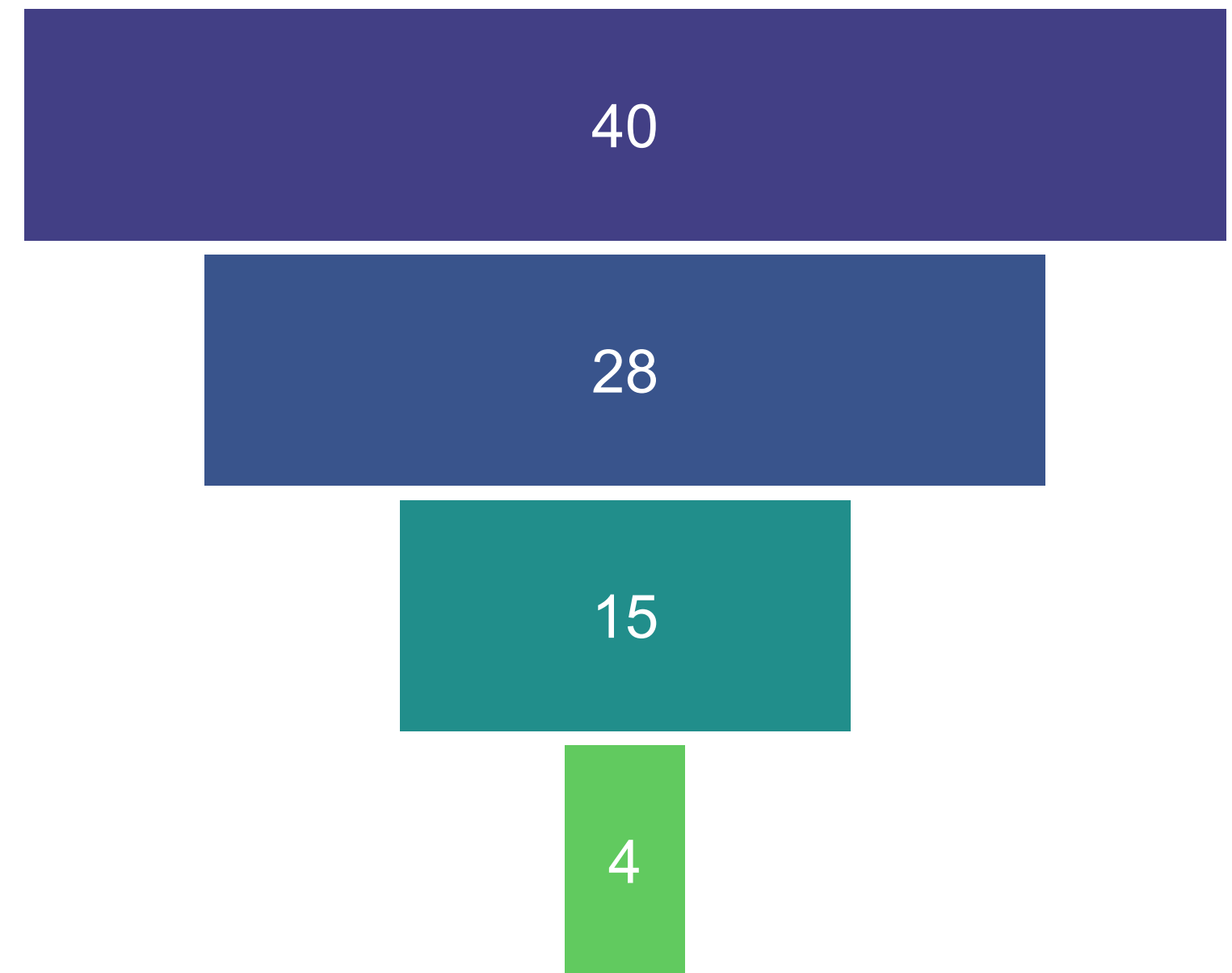
- 28 of the 40 trials that allowed treatment switching allowed cross-over between trial arms
- 27 of these reported the rate of treatment switching between trial arms



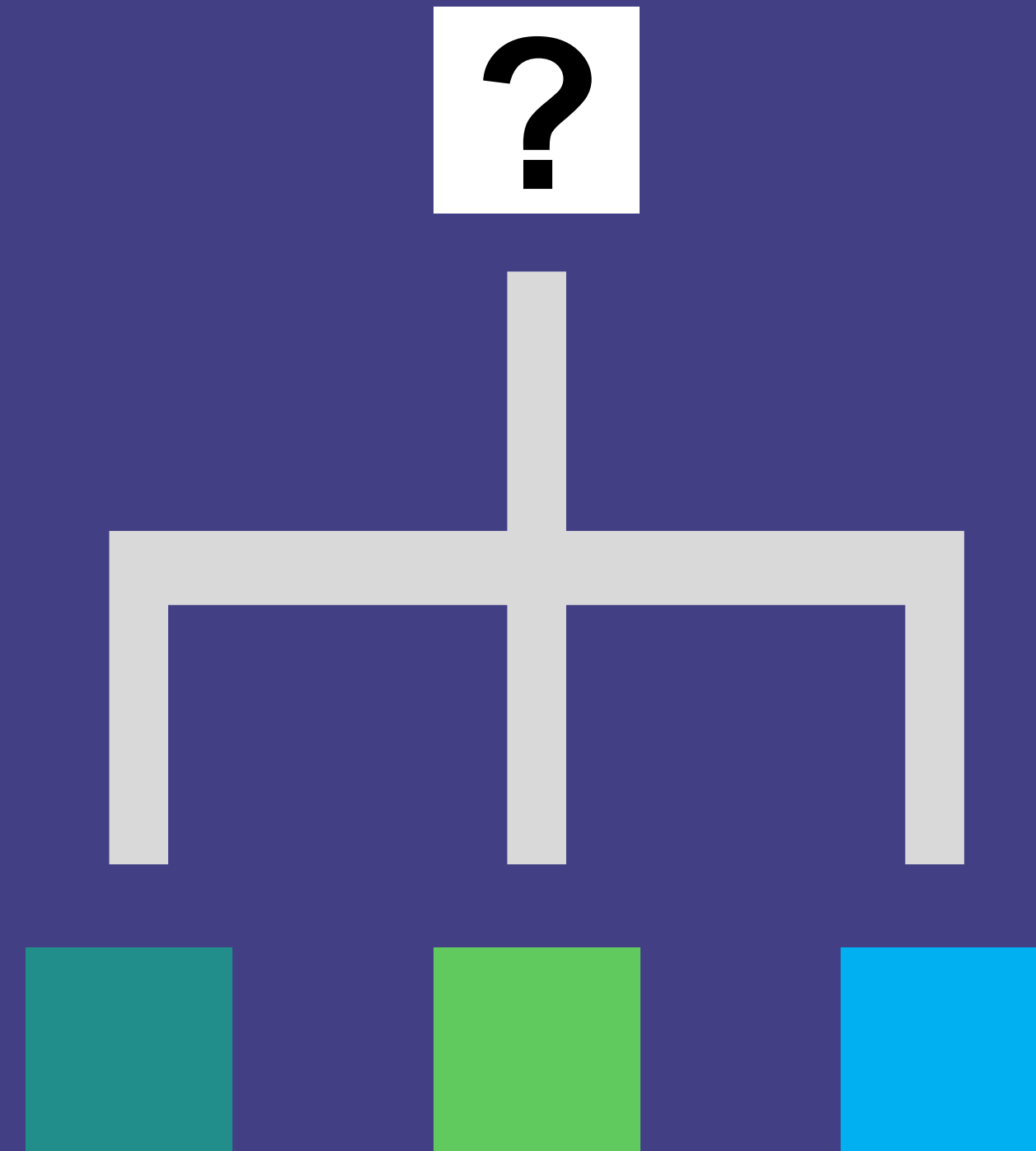
Clinical Trials: Strategies to Address Treatment Switching in PFS

- **28 of the 40 trials** had publicly available protocols or statistical analysis plans
- **15** censored participants from the primary analysis because of treatment switching
- **4** reported any censoring related to treatment switching in the primary publication

Censoring strategies varied between trials in a single met—analysis.



**If censoring
strategies vary (i.e.,
estimands differ),
how do we interpret
the meta-analytic
results?**



Naively pooling hypothetical and treatment policy estimands increases bias and yields estimates that don't reflect either estimand.

arXiv > stat > arXiv:2411.14323

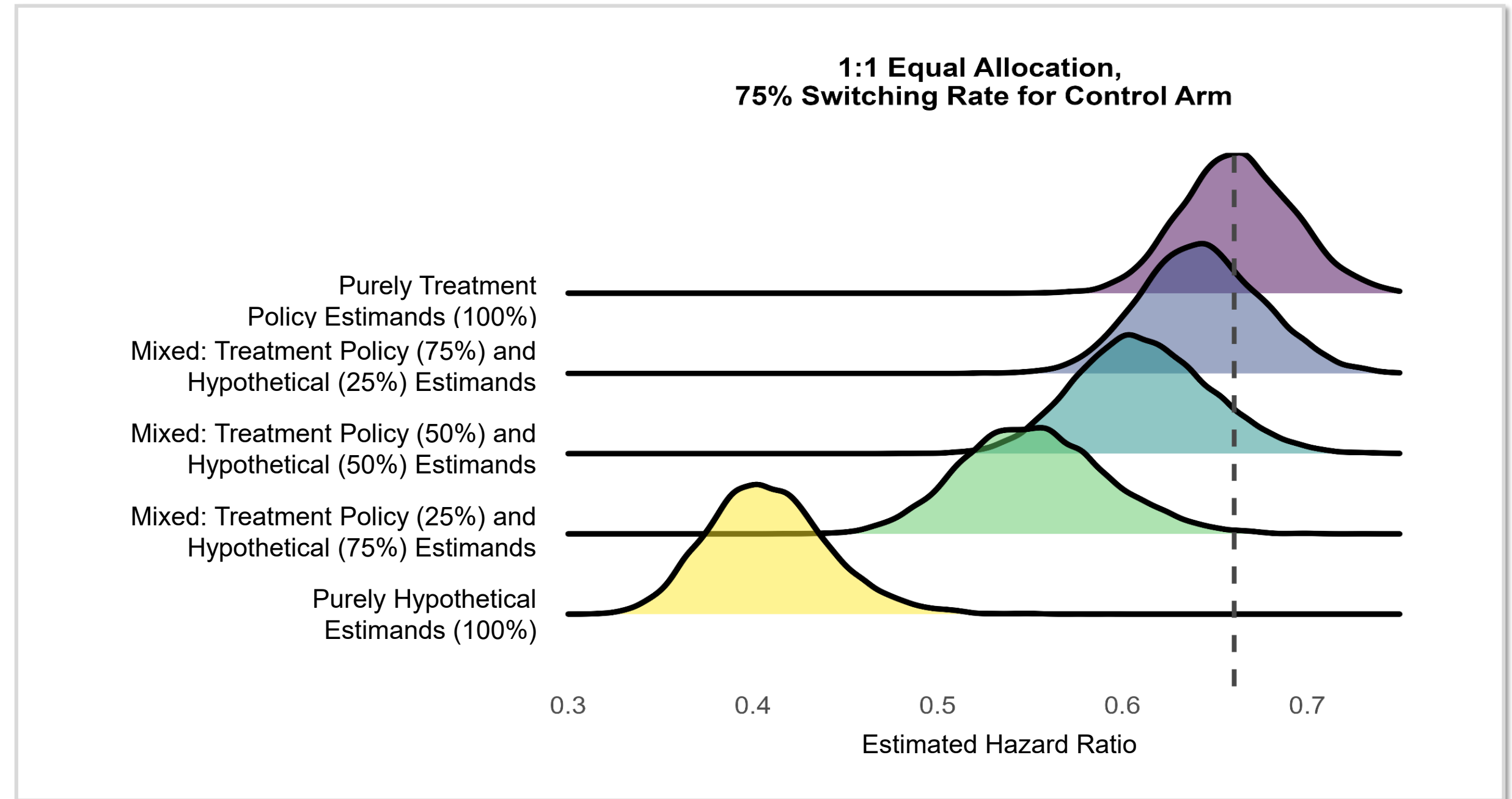
Search... Help | Adv

Statistics > Methodology

[Submitted on 21 Nov 2024]

Estimands and Their Implications for Evidence Synthesis for Oncology: A Simulation Study of Treatment Switching in Meta-Analysis

Quang Vuong, Rebecca K. Metcalfe, Antonio Remiro-Azócar, Anders Gorst-Rasmussen, Oliver Keene, Jay J. H. Park



General Conclusions

1. **Consideration of estimands in meta-analyses is limited** even for common and well-established intercurrent events like treatment switching in oncology
2. **Meta-analyses are mixing different estimands** making their interpretation difficult
3. **Limiting meta-analyses to single estimands is not practical.** While this could improve interpretability, it would limit the available evidence and erode the feasibility of meta-analysis



Future Directions

- **More comprehensive reporting of intercurrent events** is needed to enable meaningful meta-analysis in the era of estimands
 - Ideally this would also include more sharing of individual patient data
- **New methods that allow consideration of different estimands in meta-analysis**
 - We have begun early work building on state-based network meta-analysis

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COMMENTARY

Randomized controlled trial reporting guidelines should be updated to include information on subsequent treatments

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KEYWORDS

cost-effectiveness, PFS2, reporting guidelines, subsequent treatment, surrogacy, time to next treatment

Simulation Study
Preprint

Thank you!

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